IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Klaus SCHNELL, et al.

SERIAL NO:

New US PCT application based on PCT/EP00/09921

GAU:

FILED:

Herewith

EXAMINER:

FOR:

THICKENERS FOR AQUEOUS DISPERSIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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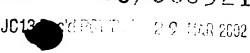
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New U.S. PCT Application Based on PCT/EP00/09921 Docket No: 220246US0PCT

STATEMENT OF RELEVANCY

1) References <u>AA-AB and AO</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
3) References <u>AP and AW</u> are discussed in the specification. A copy of these references is being submitted herewith.
4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

1 ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE Form PTO 1449 New US PCT application (Modified) PATENT AND TRADEMARK OFFICE 220246US0PCT based on PCT/EP00/09921 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Klaus SCHNELL, et al. **GROUP** FILING DATE Herewith **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB FILING DATE DATE NAME CLASS INITIAL NUMBER CLASS IF APPROPRIATE 2 667 473 R. MORNER AA 01/26/54 AΒ 3 166 525 01/19/65 W. PERRY AC ΑD ΑE ΑF AG ΑН ΑІ ΑJ ΑK ΑL ΑM ΑN FOREIGN PATENT DOCUMENTS DOCUMENT **TRANSLATION** DATE COUNTRY NUMBER YES NO ΑO 0 688 799 12/27/95 EP No AΡ 2 224 129 01/11/73 DE (equivalent US 3736286) No AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Von H. FIKENTSCHER: "Systematik der Cellulosen auf Grund ihrer Viskositat in Losung" AW pages 58-63 11/12/31 AXΑY ΑZ Additional References sheet(s) attached Examiner **Date Considered**

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

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